

## Guide for Funding Your Account

To fund your account, you may do so via:

• Wire	• Check via Mail
• ACH	• Physical Certificates
• Mobile Check Deposit	• Account Transfer (ACAT/ Non-ACAT)

### Wire Instructions for U.S. Dollar Transfers

Bank: The Bank of New York

ABA Number: 021000018

Beneficiary: Pershing LLC

Beneficiary Account Number: 890-051238-5

Ultimate Beneficiary: Client’s Brokerage Account Name

Ultimate Beneficiary Account Number: Client’s Brokerage Account Number (5CX-XXXXXX)

If any of the above information is missing, there may be a delay in crediting the account or the funds may be returned to the sender. For wire transfers into a retirement account, please contact BBVA Compass Investment Solutions at 1-800-239-1930 (Option 1) to ensure the deposit is coded properly. Wire instructions for non-U.S. dollar transfers are different than those above. Please contact us at 1-800-239-1930 (Option 1) for assistance.

### Check via Mail

A check can be sent directly to Pershing LLC via regular or overnight mail. Your check cannot be accepted at a BBVA Compass branch. The check is to be made payable to Pershing LLC FBO (Your Name). Indicate your account number in the memo field of the check for quickest processing. Mailing addresses differ according to:

	<b>Retail or IRA Contribution</b>	<b>Rollover Checks</b>	<b>Qualified Transfers</b>	<b>Maintenance Fees</b>
<b>Overnight Mail</b>	Pershing LLC Attn: 7th Fl Moneydesk 1 Pershing Plaza Jersey City, NJ, 07399	Pershing LLC Rollover Processing Attn: 382084 500 Ross Street 154-0455 Pittsburgh, PA 15262-0001	Pershing LLC Transfer Processing Attn: 382091 500 Ross Street 154-0455 Pittsburgh, PA 15262-0001	N/A
<b>Regular Mail</b>	Pershing LLC Attn: 7th Fl Moneydesk 1 Pershing Plaza Jersey City, NJ, 07399	Pershing LLC Rollover Processing PO Box 382084 Pittsburgh, PA 15262-0001	Pershing LLC Transfer Processing PO Box 382091 Pittsburgh, PA 15262-0001	Pershing LLC PO Box 371475 Pittsburgh, PA 15250-7475

### **Automated Clearing House (ACH) Instructions**

Contact BBVA Compass Investment Solutions at 1-800-239-1930 (Option 1) to obtain an ACH set-up form. To utilize this method of funding, all brokerage account holders and bank account signers will be required to sign the ACH form. Please be prepared to upload a copy of a voided check for the bank account.

### **Check via Mobile Deposit**

You can download the NetXInvestor mobile application directly to your Android or iOS device from the Google Play Store or Apple App Store. Using this feature, you can deposit a check by simply uploading a photo of the front and back of the check via the application. To use the app, after logging into your brokerage account, tap the menu icon under the **Transact** tab, then tap **Mobile Deposit** and follow the prompts. If you have additional questions please review our Guide for Mobile Deposits or contact BBVA Compass Investment Solutions at 1-800-239-1930 (Option 1).

### **Physical Certificates**

If you have physical certificates you would like to deposit in your brokerage account, please contact BBVA Compass Investment Solutions at 1-800-239-1930 (Option 1) to determine if the shares can be accepted and to receive deposit instructions.

### **Account Transfer (ACAT or Non-ACAT)**

Contact BBVA Compass Investment Solutions at 1-800-239-1930 (Option 1) to determine the transfer type and to obtain an account transfer form.

The transfer is an **ACAT transfer** if the assets are transferring from an account at another financial institution. The sending account must be registered in the same name and be of the same account type as the account into which you intend to transfer the assets. To initiate the transfer, you will need a copy of your most recent statement and the completed account transfer form. If the account and documentation is in good order and the transfer is accepted by the delivering firm, the assets are typically delivered within 3-5 business days.

The transfer is a **Non-ACAT transfer** if the assets are transferring from a bank retirement account, a qualified retirement plan (401k, 403b), an insurance company, or if the transfer is between accounts for which the account registrations are not identical for any reason (such as, the death of an account owner, divorce etc.). You will need a copy of your most recent statement, the account transfer form, any required delivering firm documents (such as, beneficiary paperwork or retirement plan distribution paperwork) and supporting documents if necessary (e.g., death certificate or divorce decree). If the account and documentation is in good order and the transfer is accepted by the delivering firm, the assets are typically delivered within 3-4 weeks.